

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(d))**

Docket No.  
**08780001AA**

In Re Application Of: **KITO et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/712,056</b>	<b>10/14/03</b>	<b>L. Tran</b>	<b>30743</b>	<b>2853</b>	<b>9030</b>

Title: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

Address to:

**Commissioner for Patents**

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-2041** as described below.
- ☒ Charge the amount of **\$180.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax no. _____) on  _____ (Date)  _____ Signature  _____ Typed or Printed Name of Person Signing Certificate
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**\*This certificate may only be used if paying by deposit account.**

  
\_\_\_\_\_  
Signature

**Michael E. Whitham**  
**Reg. No. 32,635**  
**Whitham, Curtis, Christofferson & Cook, PC**  
**11491 Sunset Hills Road, Suite 340**  
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**703-787-9400**

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on  _____ (Date)  _____ Signature of Person Mailing Correspondence  _____ Typed or Printed Name of Person Mailing Certificate
--

Dated: **December 11, 2006**

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**08780001AA**

In Re Application Of: **KITO et al.**

Application No. <b>10/712,056</b>	Filing Date <b>11/14/03</b>	Examiner <b>L. Tran</b>	Customer No. <b>30743</b>	Group Art Unit <b>2853</b>	Confirmation No. <b>9030</b>
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Invention: **SURFACE TREATMENT APPARATUS AND IMAGE RECORDING APPARATUS**

**COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

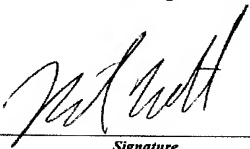
Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
*Signature*

Dated: **December 1, 2006**

**Michael E. Whitham**  
**Reg. No. 32,635**  
**Whitham, Curtis, Christofferson & Cook, PC**  
**11491 Sunset Hills Road, Suite 340**  
**Reston, Virginia 20190**  
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(Date)

*Signature of Person Mailing Correspondence*

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CC:

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

08780001AA

Application Number

10/712,256

Applicant(s)

KITO et al.

Filing Date

11/14/03

Group Art Unit

2853

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SURCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SURCLASS	Translation	
							YES	NO
		2002-292854	10/9/2002	Japan			✓	
		2002-274024	09/25/2002	Japan			✓	
		05-016492	01/26/1993	Japan			✓	
		62-234980	10/15/1987	Japan			✓	
		2001-285546	10/12/2001	Japan			✓	

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

Japanese Office Action dated October 17, 2006

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

ATTY DOCKET NO.

08780001AA

APPLICATION NO.

10/712,256

APPLICANT(S)

KITO et al.

FILING DATE

11/14/03

GROUP ART UNIT

2853

**U.S. PATENT DOCUMENTS**

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**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		60-072776	04/24/1985	Japan			✓	
		10-086420	04/07/1998	Japan			✓	

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

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